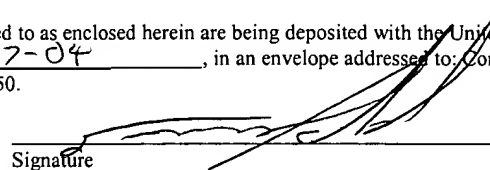


image # 1763

Attorney Docket No.	LIGHT2260
First Named Inventor:	WU, et al.
Application Number	09/932253
Filing Date:	August 16, 2001
Examiner Name:	Roberts P. Culbert
Group/Art Unit:	1763
Title	FORMATION OF AN OPTICAL COMPONENT

CERTIFICATION UNDER 37 CFR § 1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date <u>2-17-04</u> , in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.	
Date <u>2/17/04</u>	Signature 

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant hereby cites the documents listed in the accompanying Form PTO-1449 with respect to the above reference patent application under the provision of 37 CFR 1.97(b). Copies of the documents are attached.

The Examiner is respectfully requested to make the listed documents of record in connection with the prosecution of the subject application.

Date:

2/17/04

Respectfully submitted,


TRAVIS DODD

Registration No. 42,491

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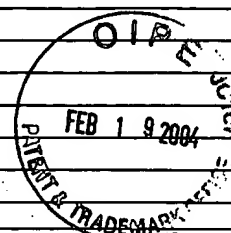
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01 FC:1806

180.00 OP

Under the Paperwork Reduction Action of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets necessary)				COMPLETE IF KNOWN	
				Application Number	09/932253
				Filing Date	Aug. 16, 2001
				First Named Inventor	Wu, et al.
				Group Art Unit	1763
				Examiner Name	Roberts P. Culbert
SHEET	1	OF	2	Docket Number	LIGHT2260



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
	1	5,830,807		Matsunaga, et al.	11-3-1998	
	2	5,885,881		Ojha	3-23-1999	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				
	3	PCT	WO 98/15504		Unisearch Limited	16.04.1998		
	4	PCT	WO 98/37577		Robert Bosch	27.08.98		
	5	PCT	WO 00/59020		University Court of the University of Glasgow	05.10.00		
	6	UK	GB2329873		Samsung Electronics	07.04.1999		
	7	EPO	0511448A1		International Business Machines Corporation	4.11.92		
	8	EPO	0982606		Alcatel	01.03.00		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶	
	9	SAYAH, A. et al., <i>Realisation of Silicon Based Dielectric Anti-Resonant Reflecting Optical Waveguide (ARROW) on InP by Photochemical Deposition</i> , IRPM 1996, pp. 315-318.		
	10	SCHNEIDER, J. et al., <i>Low Loss Corner Mirrors in InP/InGaAsP/InP for Integrated Optics Etched with Chlorinated Gases</i> , IEEE, US, Vol. Conf. 6 No. 1, 1994, pp. 216-219.		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two letter-code (WIPO Standard ST.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.



FEE TRANSMITTAL

Attorney Docket No.	LIGHT2260
First Named Inventor:	Wu, et al.
Application Number	09/932253
Filing Date:	August 16, 2001
Examiner Name:	Roberts P. Culbert
Group/Art Unit:	1763

TOTAL AMOUNT OF PAYMENT:	\$ 180.00
METHOD OF PAYMENT (check One)	1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and/or credit any over payment to: Deposit Account No.: 502326 Deposit Account Name: Lightcross, Inc. <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other

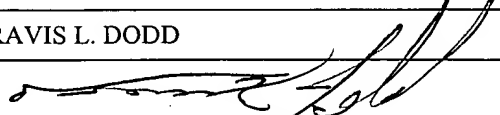
2. UTILITY Basic Filing Fee & Claims

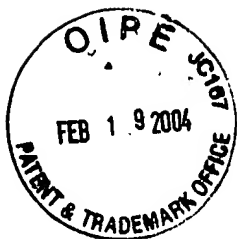
(1) For	(2) No. filed	(3) No. extra	(4) Large Entity	(5) Small Entity	(6) Calculations
Basic Filing Fee	XX	XX	\$ 740.00	\$370.00	\$ 0.00
Total Claims	72 - 72=	0	X \$ 18.00	X \$ 9.00	\$ 0.00
Independent Claims	4 - 4=0	0	X \$ 84.00	X \$ 42.00	\$ 0.00
Multiple Dependent Claim(s) (if applicable)			\$ 280.00	\$140.00	\$ 000.00
Total of above Calculations =					\$ 0.00

Basic Filing Fee	Large Entity	Small Entity	Total
Design filing fee	\$ 330.00	\$ 165.00	\$ 000.00
Reissue filing fee	\$ 740.00	\$ 370.00	\$ 0.00
Provisional filing fee	\$ 160.00	\$ 80.00	\$ 00.00
Total of above Calculations =			\$ 00.00

3. ADDITIONAL FEES

Fee Description	Large Entity	Small Entity	Other
Filing IDS after receipt of Office Action under 37CFR1.97(c).	\$	\$180.00	\$180.00
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
TOTAL:			\$180.00

Name (print/type)	TRAVIS L. DODD	Registration No.: (Attorney/Agent)	42,491
Signature		Date	2/17/04



FEE TRANSMITTAL

Attorney Docket No.	LIGHT2260
First Named Inventor:	Wu, et al.
Application Number	09/932253
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TOTAL AMOUNT OF PAYMENT:	\$ 180.00
METHOD OF PAYMENT (check One)	1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and/or credit any over payment to: Deposit Account No.: 502326 Deposit Account Name: Lightcross, Inc. <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other

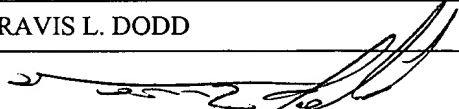
2. UTILITY Basic Filing Fee & Claims

(1) For	(2) No. filed	(3) No. extra	(4) Large Entity	(5) Small Entity	(6) Calculations
Basic Filing Fee	XX	XX	\$ 740.00	\$370.00	\$ 0.00
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Fee Description	Large Entity	Small Entity	Other
Filing IDS after receipt of Office Action under 37CFR1.97(c).	\$	\$180.00	\$180.00
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
TOTAL:			\$180.00

Name (print/type)	TRAVIS L. DODD	Registration No.: (Attorney/Agent)	42,491
Signature		Date	2/17/04